Audits, inspections and reporting

Using EQMS for your end-to-end audit program

Qualsys

Agenda: Using EQMS for your audit program

- ISO 19011:2018 requirements

- How EQMS helps you to comply with global regulations and standards

- An introduction to an end-to-end audit life cycle

Tom Hodgson

Business Development Manager at Qualsys

Auditing

✓Generates valuable insights

✓Prevents waste

✓Creates value

✓Helps organisations to grow

✓Fixes leaking buckets

ISO 19011:2018 requirements

Clause No.	Clause requirement	How EQMS helps
4	Seven principles of auditing	• Integrity is provided through visibility, transparency and communication of audits. • Fair presentation is given through audit reports – anomalies are detected and understood. • Evidence based approach enables you to capture results, eliminating ambiguity if you have a large auditing team. • Confidentiality is preserved using advanced permission controls. • Risk based approach is embedded by integrating risk register with audit program. • Due professional care is demonstrated through accountability, audit trails and electronic signatures. • Independence is practiced through nominated audit managers
5	Managing an audit program	• Integrated modules enable you to focus on areas of risk and poor performance. • Audit objectives are set at the beginning of the audit through the scope. Post-audit, auditors are provided with a framework to evaluate the achievement of the objectives for each audit. • Audit programme is monitored on an ongoing basis.
6	Conducting an audit	• Allocate audit resources based on risk using integrated modules • Various audit methods are possible: employee observations (Kiosk), surveys (Training records), quantitative (Inspection Manager), qualitative (Audit Manager) • Lessons learned from an audit can be documented in a central system, and make bulk changes to audit program
7	Competence and evaluation of auditors	• Auditor competence is managed in Training Record Manager – enabling tests, record management, issues, 360 reviews, surveys etc. to be scheduled at regular review periods • Auditor issues can be raised in Issue Manager, e.g. strategic issues with top management can be discussed.

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"Before EQMS we did four audits a year. This year we're on track for 40.

EQMS has eliminated the vast majority of my admin time.

Now I'm free to focus on strategy, continuous improvement and dealing with findings."

Lee Clack-
Quality Manager, W. E. Rawson

Watch: Youtube.com/qualsys

400+ successful implementations
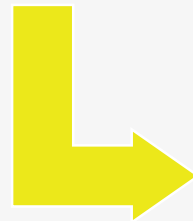
The auditing landscape

1. Collect risk and opportunity data

- ✓ Internal issues, NCRs, training
- ✓ Macro-environmental changes
- ✓ Inspections
- ✓ Policies & documentation

2. Audits

- ✓ Process reviews
- ✓ Culture health checks
- ✓ Department audits
- ✓ Risk and opportunity identification

3. Risk treatment

- ✓ Reports
- ✓ Business continuity
- ✓ Workflows / CAPA
- ✓ Business improvement

Fully integrated. Available everywhere.

Tablet (IOS / Android)

Modern web browser (Chrome, Edge etc)

Kiosk mode (beta)

Smartphone (IOS / Android)

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